## Express Mail No. EV343589446US

Attorney Docket No. 18298735US

Disclosure No. 03-0577

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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 06S1-0032 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 108298735US

First Inventor Mung Suan Heng

STACKED MICROFEATURE DEVICES AND ASSOCIATED METHODS

Express Mail Label No. EV343589446US (Only for new nonprovisional applications under 37 C.F.R. 1.53(b)) Mail Stop Patent Application APPLICATION ELEMENTS Commissioner for Patents ADDRESS TO: P.O. Box 1450 See MPEP chapter 600 concerning utility patent application contents. Alexandria, VA 22313-1450 CD-ROM or CD-R in duplicate, large table or 1. Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) Computer Program (Appendix) 2. Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) з. 🔯 [Total Pages | Specification a. Computer Readable Form (CRF) (preferred arrangement set forth below) b. Specification Sequence Listing on: - Descriptive title of the Invention i. CD-ROM or CD-R (2 copies); or Cross Reference to Related Applications ii. 🔲 paper - Statement Regarding Fed sponsored R & D c. Statements verifying identity of above copies - Reference to sequence listing, a table, or a computer program listing appendix ACCOMPANYING APPLICATIONS PARTS - Background of the Invention 9. 🛛 Assignment Papers (cover sheet & document(s)) - Brief Summary of the Invention - Brief Description of the Drawings ( if filed) 10. 🔲 37 C.F.R.§3.73(b) Statement Power of - Detailed Description (when there is an assignee) Attorney Claim(s) - Abstract of the Disclosure 11. 🔲 English Translation Document (if applicable) 4. 12. Information Disclosure Copies of IDS Drawing(s) (35 U.S.C.113) [Total Sheets 4 Statement (IDS)/PTO-1449 Citations 5. Oath or Declaration (Total Pages | 6 13. 🔲 Preliminary Amendment a. Newly executed (original or copy) 14. 🛛 Return Receipt Postcard (MPEP 503) b. Copy from a prior application (37 CFR 1.63 (d)) (Should be specifically itemized) (for a continuation/divisional with Box 18 completed) 15. 🔲 Certified Copy of Priority Document(s) i. DELETION OF INVENTOR(S) (if foreign priority is claimed) Signed statement attached deleting inventor(s) 16. 🗌 Request and Certification under 35 U.S.C. 122 named in the prior application, see 37 CFR (b)(2)(B)(i). Applicant must attach form PTO/SB/35 1.63(d)(2) and 1.33(b). or its equivalent. 6. Application Data Sheet. See 37 CFR 1.76 17. 🛛 Other: 1) General Authorization for Extensions of Time; 2) Check 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: □ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_ Prior application information: Examiner Group / Art Unit: For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. ☐ Claims the benefit of Application No. 17. CORRESPONDENCE ADDRESS 25096 or 🗀 Correspondence address below Customer Number or Bar Code Label PATENT TRADEMARK OFFICE Name (Print/Type) Paul T. Parker Registration No. (Attorney/Agent) 38,264 Date Signature August 29, 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Box Patent Application, Washington, DC 20231.



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CEE TO AMONUTE AL	Compl te if Known				
FEE TRANSMITTAL	Application Number				
<b>5 T</b> )/ <b>3</b> 000	Filing Date	August 29, 2003			
for FY 2003	First Named Inventor	Mung Suan Heng			
Effective 01/01/2003. Patent fees are subject to annual revision.  Applicant claims small entity status. See 37 CFR 1.27	Examiner Name				
	Art Unit				
TOTAL AMOUNT OF PAYMENT (\$)1366	Attorney Docket No.	108298735US			

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)							
Check Credit card Money Other None	3. Al	3. ADDITIONAL FEES						
Order	Large Entity   Small Entity							
Deposit Account:	Fee	Fee	Fee	Fee	Fee Description Fee Paid			
Deposit Account Number 50-0665	Code	(\$)	Code	(+)				
Deposit Account Name	1051	130	2051	65	Surcharge – late filing fee or oath			
The Commissioner is authorized to: (check all that apply)	1052	50	2052	25	Surcharge – late provisional filing fee or			
Charge fee(s) indicated below Credit any overpayments	cover sheet 1053 130 1053 130 Non-English Specification							
Charge any underpayments during the pendency of this application	1053 1812	130 2,520	1812	130 2,520	Non-English Specification For filing a request for ex parte			
Charge fee(s) indicated below, except for the filing fee	'0'2	2,020	10.12	2,020	reexamination			
to the above-identified deposit account.	1804	920*	1804	920*	Requesting publication of SIR prior to			
FEE CALCULATION	Examiner action							
1. BASIC FILING FEE Large Entity Small Entity	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action			
Pee Fee Fee Fee Fee Description Fee Paid	1251	110	2251	55	Extension for reply within first month			
Code (\$) Code (\$)	1252	410	2252	205	Extension for reply within second month			
1001 750 2001 375 Utility filing fee 750	1253	930	2253	465	Extension for reply within third month			
1002 330 2002 165 Design filing fee	1254 1255	1,450 1,970	2254 2255	725 985	Extension for reply within fourth month			
1003 520 2003 260 Plant filing fee	1401	320	2401	160	Extension for reply within fifth month Notice of Appeal			
1004 750 2004 375 Reissue filing fee	1402	320	2402	160	Filing a brief in support of an appeal			
1205 160 2005 80 Provisional filing fee	1403	280	2403	140	Request for oral hearing			
SUBTOTAL (1) (\$) 750	1451	1,510	1451	1,510	Petition to institute a public use			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1452	110	2452	55	Petition to revive - unavoidable			
Fee from	1453	1,300	2453	650	Petition to revive - unintentional			
Extra Claims helow Fee Paid	1501	1,300	2501	650	Utility issue fee (or reissue)			
Total Claims 38 -20** = 18 X 18 = 324 Independent Claims 6 - 3** = 3 X 84 = 252	1502	470	2502	235	Design issue fee			
Independent Claims 6 - 3** = X 84 = 252	1503	630	2503	315	Plant issue fee			
Multiple Dependent	1460 1807	130 50	1460	130 50	Petitions to the Commissioner			
Large Entity Small Entity	1806	180	1806	180	Processing fee under 37 CFR 1.17(q) Submission of Information Disclosure			
Fee Fee Fee <u>Fee Description</u>	1000	100	1000	100	Stmt			
Code (\$) Code (\$)	8021	40	8021	40	Recording each patent assignment per			
1202 18 2202 9 Claims in excess of 20			1		property (times number of properties) 40			
1201 84 2201 42 Independent claims in excess of 3	1809	750	2809	375	Filing a submission after final rejection			
1203 280 2203 140 Multiple dependent claim, if not paid	4040	750	0040	275	(37 CFR 1.129(a))			
1204 84 2204 42 ** Reissue independent claims over original patent	1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))			
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1801	750	2801	375	Request for Continued Examination (RCE)			
SUBTOTAL (2) (\$) 576	1802 900 1802 900 Request for expedited examination of a design application							
	Other fee (specify)							
	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 40							
**or number previously paid, if greater; For Reissues, see above		•		-				

SUBMITTED BY				(Complete	(if applicable)
Name (Print/Type)	Paul T. Parker	Registration No. (Attorney/Agent) 38,264		Telephone (206) 359-3258	
Signature	RIPL			Date	August 29, 2003

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

Attorney Docket No. 108298735US Disclosure No. 03-0577

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: MUNG SUAN HENG ET AL.

FILED: CONCURRENTLY HEREWITH

FOR: STACKED MICROFEATURE DEVICES

AND ASSOCIATED METHODS

## Authorization for Extensions of Time Under 37 C.F.R. § 1.136(a)(3)

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

With respect to the above-identified application, the Commissioner is authorized to treat any concurrent or future reply requiring a petition for an extension of time under 37 C.F.R. § 1.136(a)(3) for its timely submission as incorporating a petition therefor for the appropriate length of time. The Commissioner is also authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-0665.

Respectfully submitted, Perkins Coie LLP

Date: August 29, 2001

Paul T. Parker

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